Management and Supervisory Boards

Board of Executive Directors

There were six members on the Board of Executive Directors of BASF SE as of December 31, 2020.

As part of its long-term succession planning and in line with its diversity concept, the Supervisory Board appointed Dr. Melanie Maas-Brunner as a member of the Board of Executive Directors on December 17, 2020. As of February 1, 2021, the Board of Executive Directors therefore temporarily comprises seven members and, following a transition period, will again be reduced to six members with the departure of Wayne T. Smith as of May 31, 2021. Some of the responsibilities within the Board of Executive Directors will be reallocated effective June 1, 2021, as a result of this change.

The composition of the Board of Executive Directors and the responsibilities of individual members are as follows:

**Dr. Martin Brudermüller**
Chairman of the Board of Executive Directors
Degree: Chemistry, 59 years old, 33 years at BASF
Responsibilities: Corporate Legal, Compliance, Tax & Insurance; Corporate Development; Corporate Communications & Government Relations; Corporate Human Resources; Corporate Investor Relations
First appointed: 2006, term expires: 2023

**Dr. Hans-Ulrich Engel**
Vice Chairman of the Board of Executive Directors
Degree: Law, 61 years old, 33 years at BASF

**Dr. Martin Brudermüller**
Chairman of the Board of Executive Directors
Degree: Chemistry, 59 years old, 33 years at BASF
Responsibilities: Corporate Legal, Compliance, Tax & Insurance; Corporate Development; Corporate Communications & Government Relations; Corporate Human Resources; Corporate Investor Relations
First appointed: 2006, term expires: 2023

**Saori Dubourg**
Degree: Business, 49 years old, 24 years at BASF
Responsibilities: Agricultural Solutions; Care Chemicals; Nutrition & Health; Bioscience Research (until January 31, 2021); Europe
First appointed: 2017, term expires: 2025
Internal memberships within the meaning of section 100(2) of the German Stock Corporation Act:
Wintershall Dea GmbH (member of the Supervisory Board)

**Michael Heinz**
Degree: MBA, 56 years old, 37 years at BASF
Responsibilities until May 31, 2021: Corporate Environmental Protection, Health & Safety; European Site & Verbund Management; Global Engineering Services; South America
Responsibilities from June 1, 2021: Monomers; Performance Materials; Petrochemicals; Intermediates; North America; South America
First appointed: 2011, term expires: 2024
Internal memberships within the meaning of section 100(2) of the German Stock Corporation Act:
Wintershall Dea GmbH (member of the Supervisory Board)
Comparable German and non-German supervisory bodies: BASF Antwerpen N.V. (Chairman of the Administrative Council)

**Dr. Markus Kamieth**
Degree: Chemistry, 50 years old, 22 years at BASF
Responsibilities: Catalysts; Coatings; Dispersions & Pigments; Performance Chemicals; Advanced Materials & Systems Research (until January 31, 2021); BASF New Business (until January 31, 2021); Greater China; South & East Asia, ASEAN & Australia/New Zealand; Mega Projects Asia
First appointed: 2017, term expires: 2025
Comparable German and non-German supervisory bodies: Solenis UK International Ltd. (member of the Board of Directors)

**Dr. Melanie Maas-Brunner (since February 1, 2021)**
Degree: Chemistry, 52 years old, 24 years at BASF
Responsibilities until May 31, 2021: Advanced Materials & Systems Research; Bioscience Research; Process Research & Chemical Engineering; BASF New Business
Responsibilities from June 1, 2021: Corporate Environmental Protection, Health & Safety; European Site & Verbund Management; Global Engineering Services; Advanced Materials & Systems Research; Bioscience Research; Process Research & Chemical Engineering; BASF New Business
First appointed: 2021, term expires: 2024

**Wayne T. Smith**
Degrees: Chemical Engineering, MBA, 60 years old, 17 years at BASF
Responsibilities until May 31, 2021: Monomers; Performance Materials; Petrochemicals; Intermediates; Process Research & Chemical Engineering (until January 31, 2021); Market & Business Development, Site & Verbund Management North America; Country Platforms North America
First appointed: 2012, term expires: 2021
Comparable German and non-German supervisory bodies: Inter Pipeline Ltd. (member of the Board of Directors since May 7, 2020)
Supervisory Board

In accordance with the Statutes, the Supervisory Board of BASF SE comprises 12 members

The term of office of the Supervisory Board commenced following the Annual Shareholders’ Meeting on May 3, 2019, in which the shareholder representatives on the Supervisory Board were elected. In accordance with the applicable article of the Statutes as of the date of election, it terminates upon conclusion of the Annual Shareholders’ Meeting that resolves on the discharge of members of the Supervisory Board for the fourth complete business year after the term of office commenced; this is the Annual Shareholders’ Meeting on April 25, 2024. The Chairman of the Supervisory Board, Dr. Jürgen Hambrecht, resigned his Supervisory Board mandate as of the conclusion of the Annual Shareholders’ Meeting on June 18, 2020. The Annual Shareholders’ Meeting on June 18, 2020, appointed Dr. Kurt Bock to the Supervisory Board as his successor, who was elected as the new Chairman of the Supervisory Board in the subsequent Supervisory Board meeting. The Supervisory Board member Dr. Alexander C. Karp resigned from the Supervisory Board at the end of the Supervisory Board meeting on July 22, 2020. The Ludwigshafen local court (Amtsgericht) appointed Liming Chen as a substitute member effective October 8, 2020. Accordingly, the Supervisory Board comprises the following members:

Dr. Kurt Bock, Heidelberg, Germany
Chairman of the Supervisory Board of BASF SE
Former Chairman of the Board of Executive Directors of BASF SE (until May 2018)

Member of the Supervisory Board since: June 18, 2020
Memberships of statutory supervisory boards in Germany:
- Fuchs Petrolub SE (chair)
- Bayerische Motoren Werke Aktiengesellschaft (member)

Franz Fehrenbach, Stuttgart, Germany
Vice Chairman of the Supervisory Board of BASF SE
Chairman of the Supervisory Board of Robert Bosch GmbH

Member of the Supervisory Board since: January 14, 2008
Memberships of statutory supervisory boards in Germany:
- Robert Bosch GmbH (chair)
- Stihl AG (Stihl Holding AG & Co. KG group company) (vice chair)

Sinischa Horvat, Limburgerhof, Germany
Vice Chairman of the Supervisory Board of BASF SE
Chairman of the Works Council of BASF SE, Ludwigshafen Site; Chairman of BASF’s Joint Works Council and of the BASF Works Council Europe

Member of the Supervisory Board since: May 12, 2017
Memberships of statutory supervisory boards in Germany:
- none

Dame Alison Carnwath DBE, Exeter, England
Senior Advisor Evercore Partners
Member of the Supervisory Board since: May 2, 2014
Memberships of statutory supervisory boards in Germany:
- none

Liming Chen, Beijing, China
Chairman of IBM Greater China Group
Member of the Supervisory Board since: October 8, 2020
Memberships of statutory supervisory boards in Germany:
- none

Memberships of comparable domestic and foreign supervisory bodies of commercial enterprises:

Franz Fehrenbach, Stuttgart, Germany
Vice Chairman of the Supervisory Board of BASF SE
Chairman of the Supervisory Board of Robert Bosch GmbH

Member of the Supervisory Board since: January 14, 2008
Memberships of statutory supervisory boards in Germany:
- Robert Bosch GmbH (chair)
- Stihl AG (Stihl Holding AG & Co. KG group company) (vice chair)

Memberships of comparable domestic and foreign supervisory bodies of commercial enterprises:
- Stihl Holding AG & Co. KG (member of the Advisory Board)
- Linde plc (member of the Board of Directors)

Sinischa Horvat, Limburgerhof, Germany
Vice Chairman of the Supervisory Board of BASF SE
Chairman of the Works Council of BASF SE, Ludwigshafen Site; Chairman of BASF’s Joint Works Council and of the BASF Works Council Europe

Member of the Supervisory Board since: May 12, 2017
Memberships of statutory supervisory boards in Germany:
- none

Memberships of comparable domestic and foreign supervisory bodies of commercial enterprises:
- none

Prof. Dr. Thomas Carell, Munich, Germany
Professor for Organic Chemistry at Ludwig Maximilian University Munich

Member of the Supervisory Board since: May 3, 2019
Memberships of statutory supervisory boards in Germany:
- none

Memberships of comparable domestic and foreign supervisory bodies of commercial enterprises:
- none

Dr. Alexander C. Karp, Munich, Germany

Member of the Supervisory Board since: October 8, 2020
Memberships of statutory supervisory boards in Germany:
- none

Memberships of comparable domestic and foreign supervisory bodies of commercial enterprises:

- IBM China Investment Company Ltd. (chair, intragroup membership)
- IBM (China) Company Ltd. (chair, intragroup membership)
- IBM Global Services (DaLian) Company Limited (chair, intragroup membership)
- IBM Solution and Services (ShenZhen) Company Ltd. (chair, intragroup membership)
- IBM Financing and Leasing Company Ltd. (chair, intragroup membership)
- IBM Factoring (China) Company Ltd. (chair, intragroup membership)
- Inspur Power Commercial Systems Company Ltd. (chair, intragroup membership)

* Classified by the Supervisory Board as an “independent” member of the Supervisory Board (see page 172 for the criteria used to determine independence)
1 Shareholder representative
2 Employee representative
3 Publicly listed
4 Not publicly listed
Tatjana Diether, Limburgerhof, Germany
Member of the Works Council of BASF SE, Ludwigshafen Site, and of the BASF Works Council Europe
Member of the Supervisory Board since: May 4, 2018
Memberships of statutory supervisory boards in Germany: none
Memberships of comparable domestic and foreign supervisory bodies of commercial enterprises: none

Waldemar Helber, Otterbach, Germany
Deputy Chairman of the Works Council of BASF SE, Ludwigshafen Site
Member of the Supervisory Board since: April 29, 2016
Memberships of statutory supervisory boards in Germany: none
Memberships of comparable domestic and foreign supervisory bodies of commercial enterprises: none

Anke Schäferkordt, Cologne, Germany
Member of the Supervisory Board
Member of the Supervisory Board since: December 17, 2010
Memberships of statutory supervisory boards in Germany: Serviceplan Group Management SE, partner with unlimited liability of Serviceplan Group SE & Co. KG (member)
Bayerische Motoren Werke Aktiengesellschaft (member since May 14, 2020)
Memberships of comparable domestic and foreign supervisory bodies of commercial enterprises: Wayfair Inc. (non-executive director)

Denise Schellemans, Brecht, Belgium
Full-time trade union delegate
Member of the Supervisory Board since: January 14, 2008
Memberships of statutory supervisory boards in Germany: none
Memberships of comparable domestic and foreign supervisory bodies of commercial enterprises: none

Roland Strasser, Riedstadt, Germany
Regional Manager of the Rhineland-Palatinate/Saarland branch of IG BCE
Member of the Supervisory Board since: May 4, 2018
Memberships of statutory supervisory boards in Germany: AbbVie Komplementär GmbH (member)
V & B Fliesen GmbH (member)
Memberships of comparable domestic and foreign supervisory bodies of commercial enterprises: none

Michael Vassiliadis, Hannover, Germany
Chairman of the Mining, Chemical and Energy Industries Union
Member of the Supervisory Board since: August 1, 2004
Memberships of statutory supervisory boards in Germany: Steag GmbH (member)
RAG Aktiengesellschaft (vice chair)
Henkel AG & Co. KGaA (member)
Vivawest GmbH (member)
Memberships of comparable domestic and foreign supervisory bodies of commercial enterprises: none

The following member left the Supervisory Board on June 18, 2020
Dr. Jürgen Hambrecht, Neustadt an der Weinstraße, Germany
Chairman of the Supervisory Board of BASF SE (until June 18, 2020)
Former Chairman of the Board of Executive Directors of BASF SE (until May 2011)
Member of the Supervisory Board since: May 2, 2014
Memberships of statutory supervisory boards in Germany: Trumpf GmbH & Co. KG (chair)
Daimler AG (member)
Daimler Truck AG (member)
Memberships of comparable domestic and foreign supervisory bodies of commercial enterprises: none

The following member left the Supervisory Board on July 22, 2020
Dr. Alexander C. Karp, Palo Alto, California
CEO Palantir Technologies Inc.
Member of the Supervisory Board since: May 3, 2019
Memberships of statutory supervisory boards in Germany: none
Memberships of comparable domestic and foreign supervisory bodies of commercial enterprises: none

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1 Shareholder representative
2 Employee representative
3 Publicly listed
4 Not publicly listed